

10/24/01  
jc997 U.S. PTO

## UTILITY

PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No. 1086.1007-005

First Named Inventor or  
Application Identifier Hong Zhao

Express Mail Label No. EV 01060970970 PAPERS

ORIGINALLY FILED

Title of  
Invention NON-LINEAR DYNAMIC PREDICTIVE DEVICE

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Specification **[Total Pages 37 ]**  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) **[Total Sheets 11 ]**

- [ x ] Formal  Informal
- [ x ] Fig. of the Drawings for Publication  [ 1 ]

4.  Oath or Declaration/POA **[Total Pages [ ] ]**

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)  
**[NOTE Box 5 below]**
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)  
[ ] Pages
- c.  Statement verifying identity of above copies

8.  Assignment Papers (cover sheet & documents)  
[x] Assignee - Aspen Technology, Inc.  
Cambridge, Massachusetts

9.  37 C.F.R. 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Small Entity  Statement(s)  Statement filed in prior application,  
status still proper and desired

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request (check parent application)

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/160,128

Prior application information: Examiner: W. Starks Group Art Unit: 2122

## 19. CORRESPONDENCE ADDRESS

NAME	Mary Lou Wakimura, Esq.			
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.			
ADDRESS	530 Virginia Road, P.O. Box 9133			
CITY	Concord	STATE	MA	ZIP CODE
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX (978) 341-0136

Signature	Mary Lou Wakimura	Date	10/24/01
Submitted by Typed or Printed Name	Mary Lou Wakimura	Reg. Number	31,804